

PRIVACY POLICY

Henssler Financial (“HF”) shall mean and refer to any and all subsidiaries, parent or sister corporations, limited liability companies, partnerships or other entities or entity controlling, controlled by or under common control with said corporations or entities, including, but not limited to, G.W. Henssler & Associates, Ltd., Henssler Asset Management, LLC, Henssler Financial Tax & Accounting Division, DiLuzio & Henssler, Inc., Henssler Insurance & Risk Management, LLC, all d/b/a “Henssler Financial.” HF is not a financial adviser.

The Securities and Exchange Commission has adopted Regulation S-P, privacy rules promulgated under section 504 of the Gramm-Leach-Bliley Act. Section 504 requires the Commission and other federal agencies to adopt rules implementing notice requirements and restrictions on a financial institution’s ability to disclose nonpublic personal information about consumers. The Federal Trade Commission has issued regulations applying similar requirements to C.P.A.s and tax planning professionals.

Accordingly, HF must provide its customers with a notice of its privacy policies and practices, and must not disclose nonpublic personal information about a consumer to nonaffiliated third parties unless the institution provides certain information to the consumer and the consumer has not elected to opt out of the disclosure.

This notice, in summary form, is intended to tell you where we obtain information about you and who has access to it once it is received by HF.

Where we get information. Most of the information we collect about you comes directly from you; for example, investment management agreements, tax information or forms that you complete in order to receive our services. In addition, we maintain records of each of your transactions and holdings at HF.

How that information is shared with third parties. We do not sell information about our clients. We provide information about current or former clients from the sources described above to parties outside of HF only as follows:

- As necessary to process your business. For example, we transmit account and transactional information to your custodian. Additionally, if HF provides tax and accounting services, information may be shared with relevant third-party service providers or contractors. Third parties in this category are prohibited by agreement from using information about you except for the narrow purpose for which we gave it to them.
- Where required or permitted by law or regulation. Examples include responses to a subpoena, court order or regulatory demand.
- As authorized by you. You may direct us, for example, to send your account statements and confirms to a third party.

Confidentiality and security. We consider all information we have about you to be confidential, including the fact that you are a HF client (unless you tell us otherwise). Your information is only to be handled in the manner described in this notice. Within HF, we restrict access to information about you to those employees and authorized agents who need to know that information in order to provide products or services to you. We maintain physical, electronic and procedural safeguards that comply with federal standards to maintain the confidentiality of your information.



If you have other questions about how we respect your financial privacy or how you may opt out of these disclosures, please contact Henssler Financial.